

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

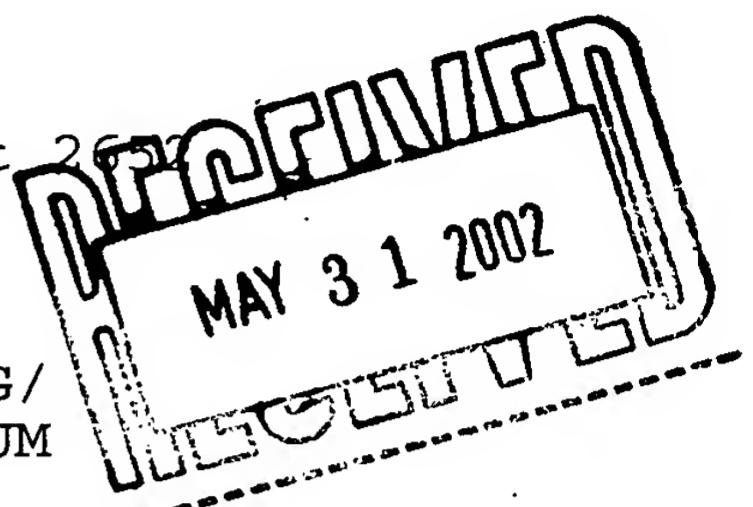
In re Patent Application of

H. NEMOTO et al

Serial No. 10/069,732

Group Art Unit 2620

Filed: February 28, 2002

For: METHOD AND DEVICE FOR INFORMATION RECORDING/
REPRODUCING AND INFORMATION RECORDING MEDIUM

INFORMATION DISCLOSURE STATEMENT (IDS)
UNDER § 1.97 AND § 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

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Technology Center 2600

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy

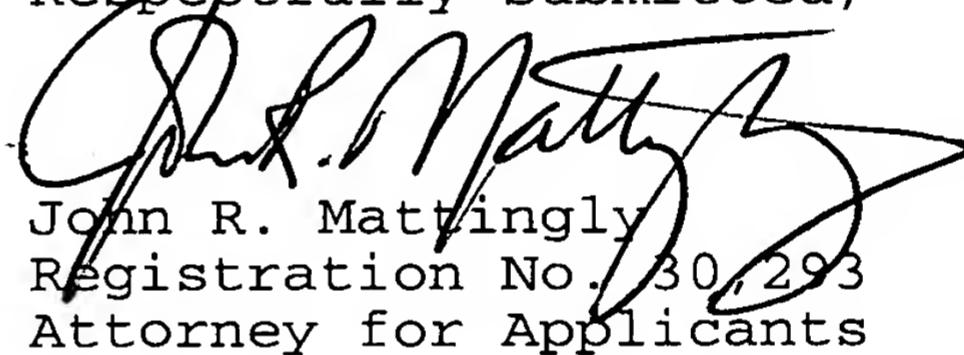
of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417. A duplicate of this sheet is attached.

5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



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Registration No. 30,293
Attorney for Applicants

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Date: May 28, 2002

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ASA-1074SERIAL NO.
10/069,732**LIST OF DOCUMENTS CITED BY APPLICANT**
*(Use several sheets if necessary)*APPLICANT
H. NEMOTO et alFILING DATE
February 28, 2002GROUP
2652

MAY 28 2002

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	5,296,995	3/22/94	Yonezawa et al			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						Technology Center 2600

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FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
AL	10-21598	1/23/98	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AM	2858455	12/4/98	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AN	7-153126	6/16/95	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AO	7-169115	7/4/95	Japan				<input type="checkbox"/>	<input type="checkbox"/>
AP	11-96608	4/9/99	Japan				<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR		13-B-03, 13-B-04 in Magneto Optical Recording International Symposium '99 Technical Digest
AS		
AT		

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ASA-1074	SERIAL NO. 10/069,732
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT H. NEMOTO et al			
		FILING DATE February 28, 2002	GROUP 2652		

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	MAY 28 2002					
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						RECEIVED MAY 30 2002 Technology Center 2600
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
AL	4-47512	2/17/92	Japan			<input type="checkbox"/>	<input type="checkbox"/>
AM	5-182188	7/23/93	Japan			<input type="checkbox"/>	<input type="checkbox"/>
AN	10-134344	5/22/98	Japan			<input type="checkbox"/>	<input type="checkbox"/>
AO	11-110748	4/23/99	Japan			<input type="checkbox"/>	<input type="checkbox"/>
AP	5-298737	11/12/93	Japan			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
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AT		
EXAMINER		DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.